

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PATENT APPLICATION  
Under 35 USC 111(a) and Rule 53(b)

Hon. Commissioner of Patents  
Washington, D.C. 20231

WITH SIGNED DECLARATION

PATENT APPLICATION

JC803 U.S. PTO



Sir: 02/15/01

Herewith is the PATENT APPLICATION of  
Inventor(s): NAKAMURA, Toshiyuki et al

Title A FLUORESCENT LAMP

NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE



00909

JC918 U.S. PTO  
09/783588



Atty. Dkt.: P 275314 | TLG200104  
M# Client Ref

including:

Date: February 15, 2001

1. Specification: 15 pages (only spec. and claims) 2. ☐ Specification in non-English language  
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 9 numbered claims  
4. ☒ Drawings: 5 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"  
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# SN )  
6. AMEND the specification please by inserting before the first line: -- This is a ☐ Continuation-in-Part  
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:  
6(a) ☐ National Appln. No. / filed (M# )  
6(b) ☐ International Appln. No. filed  
which designated the U.S., and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English.--  
7. AMEND the specification by inserting before the first line: -- This application claims the benefit of U.S. Provisional Application No. 60/ , filed --  
8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.  
9. ☐ Prior application is assigned to

by Assignment recorded Reel Frame

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in JAPAN

11. (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-037581	February 16, 2000	(2) 2000-224788	July 26, 2000
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

12. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) in U.S. Application No. / filed on

13. Small entity status ☐ is not claimed; ☐ is claimed (Pre-filing confirmation required)

13(a). ☐ Attached: (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☒ Attached: Form PTO-1449 and a copy of the Background Art Information listing the enclosed documents

17. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18	Basic Filing Fee			\$710/\$355	\$710	101/201
19	Total Effective Claims	9	minus 20 = *0	x \$18/\$9 =	+ 0	103/203
20	Independent Claims	2	minus 3 = *0	x \$80/\$40 =	+ 0	102/202
*If answer is zero or less, enter "0"						
21	If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)			+ \$270/\$135	+ 0	104/204
22	TOTAL FILING FEE ENCLOSED =				\$710	
23	If "non-English" box 2 is X'd, add Rule 17(k) processing fee			+ \$130	+ 0	139
24	If "assignment" box 8 is X'd, add recording fee			+ \$40	+ 40	581
25	<input type="checkbox"/> Attached is a Petition/Fee under Rule No.			+ \$130	+ 0	122
26	TOTAL FEE ENCLOSED =				\$750	

Our Deposit Account No. 03-3975

Our Order No. 70323

C#

275314

M#



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**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

By Atty: Dale S. Lazar

Reg. No. 28872

Sig:

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Atty/Sec: DSL/mhn

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

# BACKGROUND ART INFORMATION

The following information has been determined, to the best of TOSHIBA LIGHTING & TECHNOLOGY CORPORATION's ability, as possibly relevant to the describing of the invention of the subject case in a U.S. patent application.

Based on this information and pursuant to 37 CFR 1.56(b), please prepare and file the proper Information Disclosure Statement or equivalent document.

PATENT NUMBER ; INVENTOR(S) ; DATE etc. ★ PUBLICATION ; AUTHOR(S)				
U.S. Patent No. 5796210 , Sakakibara et al. , Aug. 18, 1998				
★ CONCISE EXPLANATION This patent discloses a circular fluorescent lamp having a circular glass bulb. A circular outer diameter of the circular glass bulb set within range of 15 to 18 mm.				
PATENT NUMBER ; INVENTOR(S) ; DATE etc. ★ PUBLICATION ; AUTHOR(S)				
Japanese Laid Open Patent Application HEI 11-3682, Yamada et al., Jan. 6, 1999				
★ CONCISE EXPLANATION This Application disclose a circular fluorescent lamp having a stem sealing each end of a circular tube. The stem length of one stem is longer than that of the stem.				
PATENT NUMBER ; INVENTOR(S) ; DATE etc. ★ PUBLICATION ; AUTHOR(S)				
★ CONCISE EXPLANATION				
JC918 U.S. PTO 09/783588 02/15/01				
PRIOR APPLICATION(S) OF INVENTOR(S) OR OF TOSHIBA LIGHTING & TECHNOLOGY CORP. (TLT) (ASSIGNEE)				
APPLICATION NUMBER	TLT REFERENCE	COUNTRY	AGENT	MEMO
INVENTOR(S) SIGNATURE & DATE CHECKED BY		Toshiyuki Nakamura 07/02/01 Yoshiyuki Matsunaga 07/02/01		
Nobuhiko Tamura				

## PATENT ENGINEER'S COMMENT ON INVENTOR(S) INFORMATION OR PATENT ENGINEER'S INFORMATION

★	
★ Same as above	
CHECKED BY S. Yamazaki	PATENT ENGINEER(S) SIGNATURE & DATE Hitoshi Kawano H.K. Jan. Feb. 7, 2001

US ATTORNEYS REFERENCE 275314	TLT REFERENCE TLG200104	JAPANESE AGENT REFERENCE	Sheet of
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